

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
13220.011001;P5486

In Re Application Of: **Mark C. SMITH et al.**

J1033 U.S. PTO  
09/04/01  
05/04/01

Serial No.

Filing Date

Examiner

Group Art Unit

May 4, 2001

Title: **FRACTIONAL REPLICATION IN A DIRECTORY SERVER**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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09/849826  
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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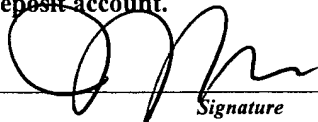
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\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: **5/4/01**

**Jonathan P. Osha, Reg. No., 33,986**  
**ROSENTHAL & OSHA L.L.P.**  
**700 Louisiana, Suite 4550**  
**Houston, Texas 77002**

**713/228-8600**  
**713/228-8778**

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)  
13220.011001;13846

Application Number

Applicant(s)  
Mark C. Smith et al.

Filing Date  
May 4, 2001

Group Art Unit

J10B3 U.S. PTO  
09/849826  
03/04/01

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A1	IETF (Internet Engineering Task Force) RFC #2234 on "Augmented BNF for Syntax Specifications: ABNF", D. Crocker and P. Overall, dated November, 1997, 12 pages
	A2	IETF (Internet Engineering Task Force) RFC #2251 on "Lightweight Directory Access Protocol (v3)", M. Wahl, T. Howes and S. Kille, dated December, 1997, 40 pages

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Mark C. Smith et al.

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09/849826

05/04/01

Invention: **FRACTIONAL REPLICATION IN A DIRECTORY SERVER**

I hereby certify that the following correspondence:

**Information Disclosure Statement***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 4, 2001*(Date)*Wendy L. Hippe*(Typed or Printed Name of Person Mailing Correspondence)*Wendy L. Hippe*(Signature of Person Mailing Correspondence)*EL656797675US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**